Image# 12950520535 PAGE 1 / 24

# **FEC** FORM 3X

# **REPORT OF RECEIPTS AND DISBURSEMENTS**

	-or Other Than An Aut	norized Committee	Office Use Only
NAME OF     COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
UBS Americas Inc. Po	litical Action Committ	tee (UBS PAC)	
ADDRESS (number and street)	400 ATLANTIC STREET		
TODITESS (Humber and street)	c/o Per Dyrvik		
Check if different than previously	STAMFORD		CT   06901
reported. (ACC)			
2. FEC IDENTIFICATION NU	JMBER ▼ CIT	TY 🛦	STATE ▲ ZIP CODE ▲
C C00012245		S THIS NEW (N)	OR AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20	(Non-Election Year Only)
(a) Quarterly Reports:			(Non-Election Year Only)
April 15 Quarterly Report (C		20 (M4) Jul 20 (	M7) Oct 20 (M10) Jan 31 (YE)
July 15	(c) 12-Day	Primary (12P)	General (12G) Runoff (12R)
Quarterly Report (C October 15	Report for the:	Convention (12C)	Special (12S)
Quarterly Report (C January 31		M = M / D = D	iii tiio
Year-End Report (Y	·	on on	State of
July 31 Mid-Year Report (Non-electio Year Only) (MY)	n (d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Election	on on	in the State of
5. Covering Period 01	01 / 2012		01 31 2012
I certify that I have examined th	is Report and to the best of	my knowledge and belief it	is true, correct and complete.
Type or Print Name of Treasure	r Per Dyrvik		
Signature of Treasurer Per L	Dyrvik	[Electronically Filed]	Date 02 / 17 / 2012
NOTE: Cubminging of false	our or incomplete information	n may aubicat the manager of	sing this Deport to the paralling of O.U.C.O. 0407
Office	eous, or incomplete information	n may subject the person sign	ning this Report to the penalties of 2 U.S.C. §437g.
Use Only			FEC FORM 3X Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

#### UBS Americas Inc. Political Action Committee (UBS PAC)

01 2012 Report Covering the Period: 2012 31 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 815755.31 January 1, 2012 (b) Cash on Hand at 815755.31 Beginning of Reporting Period..... 49909.93 49909.93 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 865665.24 865665.24 6(a) and 6(c) for Column B)..... 66500.00 66500.00 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 799165.24 799165.24 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

×

 Debts and Obligations Owed BY the Committee (Itemize all on

Schedule C and/or Schedule D) .....

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

0.00

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

# UBS Americas Inc. Political Action Committee (UBS PAC)

ributions (other than loans) From: Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	20568.77 29334.55 49903.32 0.00 0.00	29334.55 49903.32 0.00
Than Political Committees  (i) Itemized (use Schedule A)	29334.55 49903.32 0.00 0.00	29334.55 49903.32 0.00
(ii) Itemized (use Schedule A)	29334.55 49903.32 0.00 0.00	0.00
(iii) Unitemized	29334.55 49903.32 0.00 0.00	29334.55 49903.32 0.00
Political Party Committees Other Political Committees (such as PACs) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	0.00 0.00	49903.32
Lines 11(a)(i) and (ii)	0.00	49903.32 0.00 0.00
Political Party Committees  Other Political Committees (such as PACs)  Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry  Totals to Line 33, page 5)	0.00	0.00
Other Political Committees (such as PACs)  Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry  Totals to Line 33, page 5)	0.00	
(such as PACs)		0.00
Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)		0.00
11(a)(iii), (b), and (c)) (Carry  Totals to Line 33, page 5)	49903.32	
Totals to Line 33, page 5)	49903.32	
	49903.32	
sfers From Affiliated/Other		49903.32
Committees	0.00	0.00
	0.00	0.00
oans Received	0.00	0.00
· ·	0.00	0.00
	,	
unds, Rebates, etc.)		
ry Totals to Line 37, page 5)	0.00	0.00
nds of Contributions Made	,	,
ederal Candidates and Other		
cal Committees	0.00	0.00
r Federal Receipts		
dends, Interest, etc.)	6.61	6.61
sfers from Non-Federal and Levin Funds		
Ion-Federal Account		
(from Schedule H3)	0.00	0.00
_		
evin Funds (from Schedule H5)	0.00	0.00
otal Transfers (add 18(a) and 18(b))	0.00	0.00
	cal Committees	Repayments Received

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	Total This Period		
	Operating Expenditures:  (a) Allocated Federal/Non-Federal  Activity (from Schedule H4)		Calendar Year-to-Date	
	(i) Federal Share	0.00	0.00	
	(ii) Non-Federal Share	0.00	0.00	
(	(b) Other Federal Operating			
	Expenditures	0.00	0.00	
(	(c) Total Operating Expenditures	0.00	0.00	
2 -	(add 21(a)(i), (a)(ii), and (b))▶  Transfers to Affiliated/Other Party	0.00	0.00	
	Committees	0.00	0.00	
-	Contributions to Federal Candidates/Committees and Other Political Committees	66500.00	66500.00	
	ndependent Expenditures	7 7	, , , , , , , , , , , , , , , , , , , ,	
(	use Schedule E)	0.00	0.00	
(	Coordinated Party Expenditures (2 U.S.C. §441a(d))	0.00		
(	use Schedule F)	0.00	0.00	
6. I	_oan Repayments Made	0.00	0.00	
			0.00	
27. l 28. l	_oans Made Refunds of Contributions To:	0.00	0.00	
(	(a) Individuals/Persons Other Than Political Committees	0.00	0.00	
(	(b) Political Party Committees	0.00	0.00	
(	(c) Other Political Committees	0.00	0.00	
	(such as PACs)	0.00	7 7	
(	(d) Total Contribution Refunds			
	(add Lines 28(a), (b), and (c))▶	0.00	0.00	
20 (	Oth an Diahamaanaan	0.00	0.00	
29. (	Other Disbursements	0.00	0.00	
80. I	Federal Election Activity (2 U.S.C. §431(20))			
(	(a) Allocated Federal Election Activity			
	(from Schedule H6) (i) Federal Share	0.00	0.00	
	(i) i ederal offare			
	(ii) "Levin" Share	0.00	0.00	
(	(b) Federal Election Activity Paid Entirely	0.00	0.00	
,	With Federal Funds	0.00	0.00	
,	c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00	
1 -	Total Disbursements (add Lines 21(c), 22,			
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	66500.00	66500.00	
		7	5555.00	
	Total Federal Disbursements			
	(subtract Line 21(a)(ii) and Line 30(a)(ii)	66500.00	66500.00	
1	rom Line 31)▶	00000.00	00000.00	

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	49903.32	49903.32
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	49903.32	49903.32
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	0.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

	FOR LINE NUMBER: PAGE 6	OF 24
Use separate schedule(s) for each category of the	(check only one)	
Detailed Summary Page	X 11a 11b 11c 12	<u> </u>
, ,		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or	for commercial purposes, other than using the	name and address of any political committee to	solicit contributions from such committee.
$\rangle$	NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Acti	on Committee (UBS PAC)	
۹.	Full Name (Last, First, Middle Initial)  Mitchell Ackerman  Mailing Address 2029 Century Park E		Date of Receipt
	City Century City  FEC ID number of contributing federal political committee.  Name of Employer  UBS Wealth Management  Receipt For:  Primary General Other (specify)	State Zip Code CA 90067-2901  C  Occupation Executive Director  Aggregate Year-to-Date ▼  416.66	O1 13 2012 Transaction ID: 201201096751-277 Amount of Each Receipt this Period 416.66
	Full Name (Last, First, Middle Initial) Richard Allen  Mailing Address 777 S Figueroa St  City Los Angeles  FEC ID number of contributing federal political committee.  Name of Employer UBS Wealth Management  Receipt For:  Primary General Other (specify)	State Zip Code CA 90017-5800  C  Occupation Sr Wealth Strat Associate  Aggregate Year-to-Date ▼  500.00	Date of Receipt  01 06 2012  Transaction ID: E5892FEE56BC3BA3BF8  Amount of Each Receipt this Period  500.00
C.	Full Name (Last, First, Middle Initial) Christopher Amo  Mailing Address 299 Park Ave  City New York  FEC ID number of contributing federal political committee.  Name of Employer UBS Wealth Management  Receipt For:  Primary General Other (specify)	State Zip Code NY 10171-0002  C  Occupation Managing Director  Aggregate Year-to-Date ▼  300.00	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
s	UBTOTAL of Receipts This Page (optional)		1216.66
т	OTAL This Period (last page this line number of	only)	

FOR LINE NUMBER: **PAGE** 7 OF 24 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action Committee (UBS PAC) Full Name (Last, First, Middle Initial) Eugenio S. Belaval Date of Receipt Mailing Address 250 Munoz Rivera Ave 2012 City Zip Code State Transaction ID: 201201096751-229 PR San Juan 00918 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation **UBS** Wealth Management Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.00 Other (specify) Full Name (Last, First, Middle Initial) B. Aryeh Bourkoff Date of Receipt Mailing Address 299 Park Ave 01 13 2012 City State Zip Code Transaction ID: 201201096751-432 NY New York 10171-0002 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation **UBS Investment Bank** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) c. Kim Ladota Bozzella Date of Receipt Mailing Address 677 Washington Blvd 01 13 2012 City State Zip Code Transaction ID: 201201096751-319 CT Stamford 06901-3707 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Name of Employer Occupation **UBS Corporate Center** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 1082.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 8 Use separate schedule(s) (check only one) X 11a 11b 11c

OF

24

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action Committee (UBS PAC) Full Name (Last, First, Middle Initial) John Brown Date of Receipt Mailing Address 800 Harbor Blvd 2012 City Zip Code State Transaction ID: 201201096751-462 07086-6724 Weehawken NJ Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation **UBS** Wealth Management Group Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. Jason Chandler Date of Receipt Mailing Address 1285 Avenue of the Americas 01 13 2012 City State Zip Code Transaction ID: 201201096751-216 NY New York 10019-6031 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation **UBS** Wealth Management Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) c. Paul Chong Date of Receipt Mailing Address 1200 Harbor Blvd 01 13 2012 City Zip Code State Transaction ID: 201201096751-411 NJ Weehawken 07086-6762 Amount of Each Receipt this Period FEC ID number of contributing 416.66 С federal political committee. Name of Employer Occupation **UBS** Wealth Management **Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) 1249.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	FOR LINE	NUMBER	:   PAGE	∃ 9 (	OF 24
Use separate schedule(s)	(check onli	y one)			
for each category of the Detailed Summary Page	<b>X</b> 11a	11b	11c	12	
	13	14	15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action Committee (UBS PAC) Full Name (Last, First, Middle Initial) Michael Davidson Date of Receipt Mailing Address 677 Washington Blvd 2012 City State Zip Code Transaction ID: 201201096751-464 06901-3707 CT Stamford Amount of Each Receipt this Period FEC ID number of contributing C 416.00 federal political committee. Name of Employer Occupation **UBS** Investment Bank Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.00 Other (specify) Full Name (Last, First, Middle Initial) B. John H. Decker Date of Receipt Mailing Address 1285 Avenue of the Americas 01 24 2012 City State Zip Code Transaction ID: 6F23717DF0D2A3A8750 New York NY 10019-6028 Amount of Each Receipt this Period

FEC ID number of contributing federal political committee.	C	3000.00
Name of Employer UBS Wealth Management	Occupation  Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 3000.00	
Full Name (Last, First, Middle Initial)  C. Frank Destra		Date of Receipt
Mailing Address 1200 Harbor Blvd		01 13 2012
City Weehawken	State Zip Code NJ 07086-6762	Transaction ID : 201201096751-404
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer	Occupation	
UBS Wealth Management	Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
SUBTOTAL of Receipts This Page (optional)	· -	3666.00

TOTAL This Period (last page this line number only).....

	FOR	R LINE	NU	IMBER	:	PAGE	•	10 OF	=	24
Use separate schedule(s)	(che	eck only	or or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

	e name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  VIBS Americas Inc. Political Act	tion Committee (UBS PAC)	
Full Name (Last, First, Middle Initial) Brendan Dillon		Date of Receipt
Mailing Address 677 Washington Blvd		01 13 2012
City	State Zip Code	Transaction ID: 201201096751-433
Stamford	CT 06901-3707	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.00
Name of Employer	Occupation	-
UBS Investment Bank	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	416.00	
Full Name (Last, First, Middle Initial) Scott Dolan		Date of Receipt
Mailing Address 1 N Wacker Dr		01 13 2012
City	State Zip Code	Transaction ID : 201201096751-374
Chicago	IL 60606-2807	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	400.00
Name of Employer	Occupation	-
UBS Global Asset Management	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	400.00	
Full Name (Last, First, Middle Initial)  John Dugenske		Date of Receipt
Mailing Address 1 N Wacker Dr		01 13 2012
City	State Zip Code	Transaction ID: 201201096751-380
Chicago	IL 60606-2807	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.00
Name of Employer	Occupation	-
UBS Global Asset Management	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	416.00	
		1
SUBTOTAL of Receipts This Page (optional)		1232.00

	FOR LINE NUMB	BER:   PAGE 1	1
Use separate schedule(s)	(check only one)		
for each category of the Detailed Summary Page	X 11a 11	b 11c	12
,	12 14	15	16

OF

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action Committee (UBS PAC) Full Name (Last, First, Middle Initial) Per Dyrvik Date of Receipt Mailing Address 400 Atlantic St 2012 City State Zip Code Transaction ID: 201201096751-457 06901-3512 CT Stamford Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation **UBS Corporate Center** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Jonathan Eisenberg Date of Receipt Mailing Address 1200 Harbor Blvd 01 13 2012 City State Zip Code Transaction ID: 201201096751-407 Weehawken NJ 07086-6762 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Name of Employer Occupation **UBS Corporate Center Group Managing Director** 

Primary General  Other (specify) ▼	300.00	
Full Name (Last, First, Middle Initial)  Susan A. Emanuel  Mailing Address 1200 Harbor Blvd		Date of Receipt  O1 13 2012
City Weehawken	State         Zip Code           NJ         07086-6762	Transaction ID : 201201096751-246  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.00
Name of Employer	Occupation	
UBS Wealth Management	Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 416.00	
_		l .

Aggregate Year-to-Date ▼

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

966.00

Receipt For:

C

	FOR	LINE	NU	MBER	:	PAGE	 12 OF	:	24
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
_ common common, cogo		13		14		15	16		17

or for commercial purposes, other than usi	ng the name and address of any political committee					
NAME OF COMMITTEE (In Full) UBS Americas Inc. Political	Action Committee (UBS PAC)					
Full Name (Last, First, Middle Initial)  A. Ronald Ferrelli						
Mailing Address 1285 Avenue of the Am		01 31 2012				
City	State Zip Code	Transaction ID: 201201306759-2				
New York	NY 10019-6031	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	54.19				
Name of Employer	Occupation	-				
UBS Wealth Management	Managing Director					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General Other (specify) ▼	250.00					
Full Name (Last, First, Middle Initial)  3. William Ferri		Date of Receipt				
Mailing Address 299 Park Ave		01 13 _ 2012 _				
City	State Zip Code	01 13 2012 Transaction ID : 201201096751-417				
New York	NY 10171-0002	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	ů l					
Name of Employer	Occupation					
UBS Global Asset Management	Group Managing Director					
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 416.00					
Full Name (Last, First, Middle Initial)  . Bobby Fisher		Data of Pagaint				
Mailing Address 1000 Main St		Date of Receipt  01 10 2012				
City	State Zip Code	Transaction ID : 201201096751-86				
Houston	TX 77002-6336	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	250.00				
Name of Employer	Occupation					
UBS Wealth Management	Financial Advisor					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General  Other (specify) ▼	250.00					
SUBTOTAL of Receipts This Page (option	nal)	720.19				
	·					
TOTAL This Period (last page this line nu	imber only)					

	FOR	R LINE	NU	IMBER	:	PAGE	 13 OF	=	24
Use separate schedule(s)	(che	eck only	or or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
		13		14		15	16		17

NAME OF COMMITTEE (In Full) UBS Americas Inc. Political A	Action Committee (UBS PAC)	to solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Thomas Fox Mailing Address 1285 Avenue of the Amer	icas	Date of Receipt
		01 13 2012
City	State Zip Code	Transaction ID: 201201096751-304
New York	NY 10019-6031	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.66
Name of Employer	Occupation	-
UBS Investment Bank	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	416.66	
Full Name (Last, First, Middle Initial) Diane Frimmel	·	Date of Receipt
Mailing Address 1200 Harbor Blvd		01 13 2012
City	State Zip Code	Transaction ID: 201201096751-219
Weehawken	NJ 07086-6762	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.00
Name of Employer	Occupation	-
UBS Wealth Management	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	416.00	
Full Name (Last, First, Middle Initial) Robert Harrington		Date of Receipt
Mailing Address 1 International PI		01 13 2012
City	State Zip Code	Transaction ID : 201201096751-441
Boston	MA 02110-2602	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.00
Name of Employer	Occupation	+
UBS Investment Bank	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	416.00	
DUDTOTAL of Descripts This Description	l)	1248.66

	FOF	R LINE	NU	MBER	:	PAGE	-	14 OF	:	24
Use separate schedule(s) for each category of the	(che	ck only	or	ne)						
Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		17

	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Ac	ction Committee (UBS PAC)	
Full Name (Last, First, Middle Initial)  Jim Hausmann  Mailing Address 800 Harbor Blvd		Date of Receipt
City	State Zip Code	01 13 2012 Transaction ID : 201201096751-225
Weehawken	NJ 07086-6724	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	416.00
Name of Employer	Occupation	1
UBS Wealth Management	Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 416.00	
Full Name (Last, First, Middle Initial)  8. Robert Hopper	Date of Receipt	
Mailing Address 677 Washington Blvd	01 13 2012	
City	State Zip Code	Transaction ID : 201201096751-439
Stamford	CT 06901-3707	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	300.00
Name of Employer UBS Investment Bank	Occupation  Managing Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	
Full Name (Last, First, Middle Initial)  C. Marc-Anthony Hourihan	'	Date of Receipt
Mailing Address 299 Park Ave		01 13 2012
City	State Zip Code	Transaction ID : 201201096751-207
New York	NY 10171-0002	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	-
UBS Investment Bank	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	250.00	
SUBTOTAL of Receipts This Page (optional)		966.00
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 15 OF 24 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action Committee (UBS PAC) Full Name (Last, First, Middle Initial) Brian Hull Date of Receipt Mailing Address 1200 Harbor Blvd 2012 City Zip Code State Transaction ID: 201201096751-398 07086-6762 Weehawken NJ Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation **UBS** Wealth Management Group Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. George Kane Date of Receipt Mailing Address 100 Crescent Ct Ste 600 01 2012 11 City State Zip Code Transaction ID: FB65F16DA457D7AB08A TX **Dallas** 75201-1842 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Name of Employer Occupation **UBS** Wealth Management Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) c. Tom Kushner Date of Receipt Mailing Address 677 Washington Blvd 01 13 2012 City State Zip Code Transaction ID: 201201096751-453 CT Stamford 06901-3707 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Name of Employer Occupation **UBS Investment Bank** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) 1833.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action Committee (UBS PAC) Full Name (Last, First, Middle Initial) Ed Levin Date of Receipt Mailing Address 888 San Clemente Dr 2012 10 City State Zip Code Transaction ID: 201201096751-94 CA **Newport Beach** 92660-6366 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Financial Advisor **UBS** Wealth Management Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Barry Mandinach Date of Receipt Mailing Address 1285 Avenue of the Americas 01 13 2012 City State Zip Code Transaction ID: 201201096751-451 NY New York 10019-6031 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation **UBS Global Asset Management** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.00 Other (specify) Full Name (Last, First, Middle Initial) c. Dennis McCann Date of Receipt Mailing Address 299 Park Ave 01 13 2012 City Zip Code State Transaction ID: 201201096751-235 NY New York 10171-0002 Amount of Each Receipt this Period FEC ID number of contributing 500.00 С federal political committee. Name of Employer Occupation **UBS Corporate Center** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 1166.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any pers name and address of any political committee to	
NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Ac	tion Committee (UBS PAC)	
Full Name (Last, First, Middle Initial) Robert McCann  Mailing Address 1200 Harbor Blvd  City Weehawken  FEC ID number of contributing federal political committee.  Name of Employer UBS Wealth Management Receipt For:  Primary General Other (specify)	State Zip Code NJ 07086-6762  C  Occupation Member of the GEB  Aggregate Year-to-Date ▼  416.66	Date of Receipt  O1 13 2012  Transaction ID: 201201096751-396  Amount of Each Receipt this Period  416.66
Full Name (Last, First, Middle Initial)  Brian McDermott  Mailing Address 677 Washington Blvd  City Stamford  FEC ID number of contributing federal political committee.  Name of Employer UBS Investment Bank  Receipt For:  Primary General Other (specify)	State Zip Code CT 06901-3707  C  Occupation Managing Director  Aggregate Year-to-Date ▼  250.00	Date of Receipt  O1 13 2012  Transaction ID: 201201096751-196  Amount of Each Receipt this Period  250.00
Full Name (Last, First, Middle Initial)  Gabriel Melamed  Mailing Address 677 Washington Blvd  City Stamford  FEC ID number of contributing federal political committee.  Name of Employer  UBS Corporate Center  Receipt For:  Primary General Other (specify)	State Zip Code CT 06901-3707  C  Occupation Managing Director  Aggregate Year-to-Date ▼  275.00	Date of Receipt  O1 13 2012  Transaction ID: 201201096751-454  Amount of Each Receipt this Period  275.00
SUBTOTAL of Receipts This Page (optional)	<u> </u>	941.66
TOTAL This Period (last page this line number	r only)	

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action Committee (UBS PAC) Full Name (Last, First, Middle Initial) Robert Mulholland Date of Receipt Mailing Address 1200 Harbor Blvd 2012 City Zip Code State Transaction ID: 201201096751-397 07086-6762 Weehawken NJ Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation **UBS** Wealth Management Group Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. Tom Naratil Date of Receipt Mailing Address 299 Park Ave 01 13 2012 City State Zip Code Transaction ID: 201201096751-223 NY New York 10171 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation **UBS Corporate Center** Group Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.00 Other (specify) Full Name (Last, First, Middle Initial) c. James Negrelli Date of Receipt Mailing Address 30050 Chagrin Blvd 01 06 2012 City Zip Code State Transaction ID: 58749005A5165AC81B2 OH Pepper Pike 44124-5716 Amount of Each Receipt this Period FEC ID number of contributing 500.00 С federal political committee. Name of Employer Occupation **UBS** Wealth Management Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 1332.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 19 OF 24 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action Committee (UBS PAC) Full Name (Last, First, Middle Initial) Michael Ryan Date of Receipt Mailing Address 1285 Avenue of the Americas 2012 City Zip Code State Transaction ID: 201201096751-232 NY New York 10019-6031 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation **UBS** Wealth Management Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Savercool Date of Receipt Mailing Address 1501 K St NW 01 13 2012 City State Zip Code Transaction ID: 201201096751-292 DC Washington 20005-1401 Amount of Each Receipt this Period FEC ID number of contributing 315.00 federal political committee. Name of Employer Occupation **UBS Corporate Center** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Schweitzer Date of Receipt Mailing Address 1200 Harbor Blvd 01 13 2012 City Zip Code State Transaction ID: 201201096751-301 NJ Weehawken 07086-6762 Amount of Each Receipt this Period FEC ID number of contributing 250.00 С federal political committee. Name of Employer Occupation **UBS** Wealth Management Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 981.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF 24 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action Committee (UBS PAC) Full Name (Last, First, Middle Initial) Marc Silverman Date of Receipt Mailing Address 677 Washington Blvd 2012 City State Zip Code Transaction ID: 201201096751-354 CT Stamford 06901-3707 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Name of Employer Occupation **UBS Corporate Center** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. Benedict Tarantino Date of Receipt Mailing Address 5950 Sherry Ln 01 13 2012 City State Zip Code Transaction ID: 201201096751-343 Dallas TX 75225-6533 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Name of Employer Occupation **UBS** Wealth Management Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Jeffrey Tauber Date of Receipt Mailing Address 200 Park Ave 01 10 2012 City Zip Code State Transaction ID: 201201096751-6 NY New York 10166-0005 Amount of Each Receipt this Period FEC ID number of contributing 416.66 С federal political committee. Name of Employer Occupation Financial Advisor **UBS** Wealth Management Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) 1133.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	MBER	:	PAGE	2	21	OF	24
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,		13		14		15		16		17

	and Statements may not be sold or used by any pering the name and address of any political committee t				
NAME OF COMMITTEE (In Full) UBS Americas Inc. Political	Action Committee (UBS PAC)				
Full Name (Last, First, Middle Initial) A. Ron Vinder		Date of Receipt			
Mailing Address 590 Madison Ave		01 10 Y Y Y Y Y Y			
City	State Zip Code	Transaction ID : 201201096751-180			
New York	NY 10022-2524	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	416.66			
Name of Employer	Occupation	†			
UBS Wealth Management	Financial Advisor				
Receipt For: Primary General	Aggregate Year-to-Date ▼	]			
Other (specify) ▼	416.66				
Full Name (Last, First, Middle Initial)  Robert Wolf		Date of Receipt			
Mailing Address 677 Washington Blvd		01 13 _ 2012 _			
City	State Zip Code	01 13 2012 Transaction ID : 201201096751-452			
Stamford	CT 06901-3707	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	ÿ				
Name of Employer	Occupation	1			
UBS Corporate Center	Group Managing Director	j			
Receipt For:	Aggregate Year-to-Date ▼				
Primary General Other (specify) ▼	416.00				
Full Name (Last, First, Middle Initial)		Date of Receipt			
Mailing Address		Date of Receipt			
City	State Zip Code	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	A STATE OF LACTIFICATION TO THE STATE OF LACTIFICATION			
Name of Employer	Occupation	1			
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼				
SUBTOTAL of Receipts This Page (option	nal)	832.66			
	<u> </u>	20568.77			
TOTAL This Period (last page this line nu	mber only)	20000.77			

S	CHEDULE B (FEC Form 3X)	Han acceptate and	dula/=\	FOR LINE N			PAGE	22 (	OF 24
IT	EMIZED DISBURSEMENTS	Use separate sched for each category o	f the	(check only 21b	one)	<b>X</b> 23	24	25	□ 26
		Detailed Summary I	Page	27	28a	28b	28c	29	30b
Ar	ny information copied from such Reports and Staten	nents may not be solo	d or used	by any perso	n for the	purpose of	soliciting	contribut	tions
	for commercial purposes, other than using the name								
$\setminus$	NAME OF COMMITTEE (In Full)								
$  \rangle$	UBS Americas Inc. Political Action	Committee (UE	BS PA	C)					
<u></u>	Full Name (Last, First, Middle Initial)								
A.	Common Sense Colorado				Date of	Disbursen	nent		
	Moiling Address DO Day 4070				M M / D D / Y Y Y Y				
	Mailing Address PO Box 1978				01	26	-	2012	
	City S	State Zip Code	)		Trans	action ID :	C9A1361E	2/161E9	200007
	Denver	CO 80201			ITAIIS	action ib .	CSAISOIL	J4 10 11 C	30007
	Purpose of Disbursement 2012 Contribution			011	Amount	of Each D	Disburseme	nt this f	Period
	Candidate Name	te Name Category/						5000	
	Common Sense Colorado			Type		7	7	5000	0.00
	Office Sought: House Disbursen Senate	nent For: 2012	orol						
		Primary Gen Other (specify) ▼	neral						
	State: District:		ibution						
	Full Name (Last, First, Middle Initial)								
В.	Democratic Senatorial Campaign C	Committee			Date of	Disbursen	nent		
	Mailing Address 120 Maryland Ave NE				M = M	26		2012	Y
					Ų.			2012	
		State Zip Code DC 20002	9		Trans	action ID :	D97284D0	109382	28E339
	Washington Purpose of Disbursement	DC 20002							
	2012 Contribution			011	Amount	of Each D	Disburseme	nt this f	Period
	Candidate Name			Category/				15000	00
	Democratic Senatorial Campaign Coffice Sought: House Disbursen			Туре		7	7	13000	7.00
		nent For: 2012 Primary Gen	neral						
		Other (specify)	iciai						
	State: District:		ibution						
_	Full Name (Last, First, Middle Initial)				_				
C.	Diane Black for Congress					Disbursen			
	Mailing Address PO Box 1437				M M	26		2012	Y
	,	State Zip Code	9		Trans	action ID :	43068614	87762D	A0A85
	Gallatin Purpose of Disbursement	TN 37066							
	2012 General			011	Amount	of Each D	Disburseme	nt this f	Period
	Candidate Name			Category/				1500	00
	Diane Black			Туре		-	7	1300	.00
		nent For: 2012 Primary 🔀 Gen	neral						
	President	Other (specify)	iciai						
	State: TN District: 06	· · · · · · · · · · · · · · · · · · ·							
Г									
s	SUBTOTAL of Disbursements This Page (optional)						7	21500	.00
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SCHEDULE B (FEC Form 3X)	Her constitution of the co	FOR LINE		PAGE 23 OF 24				
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or for commercial purposes, other than using the nar	ne and address of any polit	ical committee to	solicit contributions	from such committee.				
NAME OF COMMITTEE (In Full)	<b>a</b>							
UBS Americas Inc. Political Action	Committee (UBS F	PAC)						
Full Name (Last, First, Middle Initial)								
A. Freedom and Security PAC			Date of Disburser	ment				
Mailing Address 228 S. Washington St., Ste. 115			01 26 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
City	State Zip Code							
Alexandria	VA 22314		Transaction ID	: 6535CC9A932CC68F33F				
Purpose of Disbursement 2012 Contribution		011	Amount of Each	Disbursement this Period				
Candidate Name		Category/		5000.00				
Freedom and Security PAC  Office Sought: House Disburse	ment For: 2012	Туре		000.00				
Senate Dispurse	Primary General							
President	Other (specify)							
State: District:	Contribution	ո						
Full Name (Last, First, Middle Initial)								
B. Freedom Project; the	Freedom Project; the							
			01 26					
Mailing Address 320 1st Street SE								
•	State Zip Code DC 20003		Transaction ID	: 98E7A322E74673291C6				
Washington Purpose of Disbursement	DC 20003							
2012 Contribution		011	Amount of Each	Disbursement this Period				
Candidate Name		Category/						
Freedom Project; the		Type		5000.00				
Office Sought: House Disburse	ment For: 2012							
Senate	Primary General							
	Other (specify)	_						
State: District:  Full Name (Last, First, Middle Initial)	Contributio	II .						
C. National Republican Congressiona	al Committee		Date of Disburser	ment				
			M M / D	D / Y Y Y Y				
Mailing Address 320 First Street SE			01 26	2012				
City	State Zip Code							
Washington	DC 20003		Transaction ID	: 72ECC8C84D89F806F05				
Purpose of Disbursement								
2012 Contribution		011	Amount of Each	Disbursement this Period				
	Candidate Name Cai							
National Republican Congressiona		Туре		15000.00				
Office Sought: House Disburse Senate	ment For: 2012 Primary General							
President	Other (specify)							
State: District:	Contribution	n						
SUBTOTAL of Disbursements This Page (optional)			1	25000.00				
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SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER:	PAGE 24 OF 24
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Any information copied from such Reports and Statem or for commercial purposes, other than using the name			on for the purpose of	soliciting contributions
NAME OF COMMITTEE (In Full) UBS Americas Inc. Political Action	Committee (UBS P	AC)		
Full Name (Last, First, Middle Initial)  A. National Republican Senatorial Committee			Date of Disbursement  O1 26 2012	
Mailing Address 425 Second Street NE				
Washington	State Zip Code DC 20002		Transaction ID :	BB4009268F56BE370F0
Purpose of Disbursement 2012 Contribution  Candidate Name		011	Amount of Each D	sbursement this Period
National Republican Senatorial Committee  Office Sought: House Disbursement For: 2012			7	15000.00
Senate	Primary General Other (specify) ▼ Contribution			
Full Name (Last, First, Middle Initial)  B. Scott Brown for Us Senate Committee Inc			Date of Disbursem	ent
Mailing Address 337 Summer Street			01 26 2012	
· ·	State Zip Code MA 02210		Transaction ID :	4F8E32BD097158603CB
2012 General 011 Candidate Name Category/			Amount of Each D	sbursement this Period
X Senate	nent For: 2012 Primary General Other (specify)	Type		5000.00
Full Name (Last, First, Middle Initial)  C.			Date of Disbursem	_
Mailing Address			M M / D D	/
,	State Zip Code			
Purpose of Disbursement  Candidate Name  Category/ Type			Amount of Each Disbursement this Period	
	nent For: Primary General Other (specify)	1,500		
SUBTOTAL of Disbursements This Page (optional)				20000.00
TOTAL This Period (last page this line number only)				66500.00